

# Extensions to WC IV

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July 15, 2010

## 1 Disclaimer

Understanding of the following depends on an acquaintance with Clark's [Claar], available at <http://math.uga.edu/~pete/trans.pdf>.

## 2 The Laurent series ring $\mathbb{C}((t))$

Let  $\mathbb{C}_g$  be the Laurent series ring in  $g$  variables. In this section, we will prove the following two results.

**Theorem 2.1.**  $\mathbb{C}_1$  is not **WC**( $i$ ) for any  $i$ .

**Theorem 2.2.**  $\mathbb{C}_1$  is almost **WC**(1).

As observed in Clark's WCIV, Theorem 2.2 follows from the following:

**Theorem 2.3.** *Let  $A/\mathbb{C}_1$  be an abelian variety with semi-abelian reduction. Let  $X$  be a principal homogeneous space for  $A$  with period  $P$ . If  $P$  is odd, then the index of  $X$  is  $P$ . If  $P$  is even, then the index of  $X$  divides  $2P$ .*

*Proof of Theorem 2.2.* Fix  $d$ , the dimension of the abelian varieties. There is a constant  $f = f(d)$  such that every abelian variety of dimension  $d$  has semi-abelian reduction over an extension  $L/\mathbb{C}_1$  of degree less than or equal to  $d$ . It immediately follows from Thm. 2.3 that the index is less than or equal to  $2f \cdot P$  for every principal homogeneous space for  $A$  over  $\mathbb{C}_1$ .  $\square$

### 2.1 Shafarevich duality on strictly local 1-dimensional rings

The key ingredient in the proofs of both theorems is a duality constructed by Shafarevich for abelian varieties over fields  $K$  which are the fraction field of a complete dvr with algebraically closed residue field  $k$ ; in our case,  $k = \mathbb{C}$ . In fact, both theorems hold true in this more general context away from the characteristic  $p$  of  $k$ ; that is, there is a constant  $f(d)$  depending only on the dimension of an abelian variety  $A$  such that every principal homogeneous space over  $A$  with period  $P$  and index  $I$ , for which  $P$  is not divisible by  $p$ , one has  $I \leq f(d)P$ .

For the remainder of this section, we assume that  $K$  is as above. Let  $G$  denote the absolute Galois group of  $K$ . We first prove a structure theorem for abelian varieties over  $K$ .

**Theorem 2.4.** *Let  $A$  be an abelian variety over  $K$ . Then  $A(K)$  is an extension of a finite group  $\Phi_K$  by a group  $A_0(K)$ , where  $A_0(K)$  is divisible by every  $n$  coprime to  $\text{char } k$ .*

*Proof.* This is just an application of Chevalley's theorem plus the Néron mapping property. That is, let  $\mathcal{A}$  be the Néron model for  $A$ , and  $A_k := \mathcal{A}_k$  the special fiber of  $\mathcal{A}$ . The special fiber  $\mathcal{A}_k$  is a quasi-projective commutative algebraic group over an algebraically closed field, and therefore is an extension of a finite group by an  $n$ -divisible group; indeed, the latter group is the connected component of the identity. Let  $A_0(K)$  be the subgroup of points whose reduction lies in the identity component of  $A_k$ .

To show  $A_0(K)$  is  $n$ -divisible, we apply the Néron mapping property: given  $x \in A(K)$  which reduces to  $\bar{x}$  in the identity component of  $\mathcal{A}_k$ , find  $\bar{y} \in \mathcal{A}_k$  such that  $n\bar{y} = \bar{x}$ . The Néron mapping property gives a point  $y \in A(K)$  such that  $ny - x$  lies in the kernel of reduction. But the kernel of reduction is a formal group, and therefore is  $n$ -divisible.  $\square$

Let  $\hat{A}$  be the dual abelian variety to  $A$ . We now define our pairing

$$\varepsilon : H^1(K, A[n]) \times \hat{A}(K)[n] \rightarrow \mathbb{Z}/n\mathbb{Z}$$

as follows. The cup product gives a class in  $H^1(K, A[n] \otimes \hat{A}[n])$ . Composing with the Weil pairing yields an element of  $H^1(K, \mu_n) = \text{Hom}(G, \mu_n)$ . Fix a uniformizer  $t$  for the discrete valuation ring of  $K$ , and choose any  $n$ th root  $t^{1/n}$ . There is a canonical character  $\chi$  given by

$$\chi(\tau) = \frac{\tau t^{1/n}}{t^{1/n}}.$$

Observe that  $\chi$  is independent of the choice of  $t$ . Thus, every element of  $\text{Hom}(G, \mu_n)$  is a multiple of  $\chi$ , given by  $a\chi$  for some  $a \in \mathbb{Z}/n\mathbb{Z}$ . From this,  $\text{Hom}(G, \mu_n) = \mathbb{Z}/n\mathbb{Z}$ . Taken together with the cup product and the Weil pairing, we have defined the bilinear pairing  $\varepsilon$ .

**Proposition 2.5.** *The pairing  $\varepsilon$  induces a pairing*

$$\varepsilon_0 : H^1(K, A)[n] \times \hat{A}_0(K)[n] \rightarrow \mathbb{Z}/n\mathbb{Z}.$$

*Proof.* Recall the Kummer sequence for  $A$

$$0 \rightarrow \frac{A(K)}{nA(K)} \rightarrow H^1(K, A[n]) \rightarrow H^1(K, A)[n] \rightarrow 0.$$

From this, it suffices to show that  $\varepsilon$  restricted to  $\frac{A(K)}{nA(K)} \times \hat{A}_0(K)[n]$  is trivial.

Write  $\delta$  for the first map in the exact sequence above. Given  $a \in A(K)$ , we will abbreviate  $\delta(a \pmod{nA(K)})$  to simply  $\delta(a)$ . Then  $\delta(a)(\tau)$  is given by  $\tau b - b$  for any  $b \in A(\overline{K})$  for which  $nb = a$ . By Thm. 2.4, there is an integer  $m$  such that  $ma \in A_0(K)$ . This implies that  $m\delta(a) = 0$ ; in particular, we may assume that  $p \nmid m$ .

Since  $A_0(K)$  is divisible, there is  $b' \in A_0(K)$  such that  $mnb' = ma$ . Replacing  $b$  with  $b - b'$  does not change  $\delta(a)$ ; thus we may assume that  $b \in A[mn]$ .

Now let  $x \in \hat{A}_0(K)[n]$ . By the divisibility of  $\hat{A}_0(K)$ , there is  $y \in \hat{A}_0(K)[mn]$  such that  $my = x$ .

It remains to show that  $\varepsilon(\delta(a), x) = 0$ . It suffices to show  $e_n(\tau b - b, x) = 1$  for all  $\tau \in G$ , where  $e_n$  is the level  $n$  Weil pairing. We have

$$\begin{aligned} e_n(\tau b - b, x) &= e_n(\tau b - b, my) \\ &= e_{mn}(\tau b - b, y) \\ &= \frac{e_{mn}(\tau b, y)}{e_{mn}(b, y)} \\ &= 1 \end{aligned}$$

where the last equality follows from the Galois-equivariance of the Weil pairing.  $\square$

**Proposition 2.6.** *The right kernel of  $\varepsilon_0$  is trivial.*

*Proof.* In other words, we wish to show that if

$$\varepsilon_0(\xi, x) = 0$$

for all  $\xi \in H^1(K, A)[n]$ , then  $x = 0$ . Since the pairing takes values in  $\text{Hom}(G, \mu_n)$ , it suffices to show that  $e_n(\eta(\tau), x) = 1$  for every  $\eta \in Z^1(K, A[n])$ ,  $\tau \in G$ , where  $Z^1$  is the set of 1-cocycles. The Weil pairing is nondegenerate, so in fact we need only show that the set of all  $\eta(\tau)$  is in fact all of  $A[n]$ . Under our hypothesis that  $p \nmid n$ ,  $A[n]$  is tamely ramified, so the action of  $G$  on  $A[n]$  factors through its tame quotient  $G^t$ . The group  $G^t$  is pro-cyclic; choose  $\tau$  to be a topological generator. Choose  $L/K$  a tamely ramified extension of large degree—say, the degree  $n$  extension over  $K(A[n])$ . The cohomology theory of cyclic groups tells us that

$$Z^1(L/K, A[n]) = \ker \text{Nm}$$

where  $\text{Nm} : A(L)[n] \rightarrow A(K)[n]$  is given by the operator  $1 + \tau + \dots + \tau^{[L:K]-1}$ , and the isomorphism is given by  $\eta \mapsto \eta(\tau)$ . By our choice of  $L$ ,  $\ker \text{Nm} = A[n]$ . The inflation map gives  $Z^1(L/K, A[n])$  as a subgroup of  $Z^1(K, A[n])$ , from which the proposition follows.  $\square$

**Theorem 2.7.**  *$\varepsilon_0$  is a perfect pairing.*

*Proof.* In light of Proposition 2.6, it suffices to show that

$$\#\hat{A}_0(K)[n] = \#H^1(K, A)[n].$$

The exact sequence

$$0 \rightarrow A_0(K) \rightarrow A(K) \rightarrow \Phi_K \rightarrow 0$$

gives rise, via the snake lemma and the  $n$ -divisibility of  $A_0(K)$ , to the exact sequences

$$\begin{aligned} 0 \rightarrow A_0(K)[n] \rightarrow A(K)[n] \rightarrow \Phi_K[n] \rightarrow 0 \\ 0 \rightarrow \frac{A(K)}{nA(K)} \rightarrow \frac{\Phi_K}{n\Phi_K} \rightarrow 0 \end{aligned}$$

The first sequence tells us that

$$\#A_0(K)[n] = \frac{\#A(K)[n]}{\#\Phi_K[n]}.$$

Observe that since  $\Phi_K$  is a finite group,  $\Phi_K[n]$  and  $\Phi_K/n\Phi_K$  have the same order. The second sequence plus the latter observation shows that  $\frac{A(K)}{nA(K)}$  has finite order, equal to  $\#\Phi_K[n]$ . Therefore

$$\#A_0(K)[n] = \frac{\#A(K)[n]}{\#\frac{A(K)}{nA(K)}}.$$

From our description in the proof of Proposition 2.6 of  $Z^1(K, A[n])$ , we have

$$H^1(K, A[n]) = \frac{A[n]}{(1-\tau)A[n]}.$$

But from

$$0 \rightarrow A(K)[n] \rightarrow A[n] \rightarrow (1-\tau)A[n] \rightarrow 0,$$

where the second map is  $(1-\tau)$ , we see that

$$\#H^1(K, A[n]) = \#A(K)[n].$$

Now consider the Kummer sequence

$$0 \rightarrow \frac{A(K)}{nA(K)} \rightarrow H^1(K, A[n]) \rightarrow H^1(K, A)[n] \rightarrow 0.$$

From this,

$$\#H^1(K, A)[n] = \frac{\#H^1(K, A[n])}{\#\frac{A(K)}{nA(K)}}.$$

Combining with the above equality, we obtain

$$\#H^1(K, A)[n] = \#A_0(K)[n].$$

Lastly,  $A_0$  and  $\hat{A}_0$  are the identity components of isogenous commutative group schemes, hence

$$\#A_0(K)[n] = \#\hat{A}_0(K)[n]$$

and the theorem follows.  $\square$

**Proposition 2.8.** *Let  $L/K$  be a finite Galois extension. Under the pairing  $\varepsilon_0$ ,  $\text{res}_{L/K}$  and  $\text{Nm}_{L/K}$  are adjoint; that is, the following diagram commutes:*

$$\begin{array}{ccc} H^1(K, A)[n] \times \hat{A}_0(K)[n] & \xrightarrow{\varepsilon_0} & \frac{\mathbb{Z}}{n\mathbb{Z}} \\ \downarrow \text{res} & \uparrow \text{Nm} & \downarrow = \\ H^1(L, A)[n] \times \hat{A}_0(L)[n] & \xrightarrow{\varepsilon_0} & \frac{\mathbb{Z}}{n\mathbb{Z}}. \end{array}$$

*Proof.* It suffices to consider the case where  $L/K$  is tamely ramified. In this case  $L/K$  is cyclic. Let  $[L : K] = r$  and let  $\tau$  be a topological generator for the tame inertia group. For  $\xi \in H^1(K, A[n])$ , let  $f \in Z^1(K, A[n])$  represent it. Then  $\text{res } \xi$  is represented by  $\text{res } f$ . We have  $\varepsilon_0(\xi, \text{Nm } x)$  is determined by

$$e_n(f(\tau), \text{Nm } x) = \sum_{i=0}^{r-1} e_n(f(\tau), \tau^i x).$$

On the other hand,  $\varepsilon_0(\text{res } \xi, x)$  is determined by computing  $e_n(f(\tau^r), x)$ . Since  $f$  is a 1-cocycle,

$$f(\tau^r) = \sum_{i=0}^{r-1} \tau^i f(\tau).$$

The result now follows by the Galois-equivariance and bilinearity of the Weil pairing.  $\square$

### 3 Weil extension of scalars and the proof of Theorem 2.1

In [Sha61, p.97], Shafarevich showed that  $\mathbb{C}_1$  is not **WC**(1). The proof is adapted easily to show that  $\mathbb{C}_1$  is not **WC**( $i$ ) for any  $i$ .

*Proof of Theorem 2.1.* Observe that the Galois group of  $K = \mathbb{C}((t))$  is pro-cyclic; the unique degree  $m$  extension, for  $m$  a positive integer, is  $K(t^{1/m})$ . Let  $P$  be a positive integer. The Shafarevich duality between  $H^1(K, A)[n]$  and  $\hat{A}_0(K)[n]$ , along with Prop. 2.8, allows us to reduce the theorem to the following statement: given  $i$ , there exists an abelian variety  $A$  such that the norm map

$$\text{Nm}_{L/K} : A(L)[P] \rightarrow A(K)[P]$$

is nonzero, where  $L = K(t^{1/P^i})$ . (This  $A$  is really the  $\hat{A}$  in the notation of our Shafarevich duality.)

We construct  $A$  as follows. Let  $E/K$  be an elliptic curve with at least one rational point of exact order  $n$ ; the easiest examples are isotrivial elliptic curves. Let  $A$  be the Weil restriction of scalars for  $E$  from  $L$  to  $K$ . One way to construct

$A$  is via Galois descent on  $E^{P^i}$  equipped with the following twisted Galois action: if  $\tau$  is a topological generator for  $G$ , then  $\tau$  acts on  $(x_1, \dots, x_{P^i}) \in E^{P^i}$  via

$$\tau(x_1, \dots, x_{P^i}) = (\tau x_2, \dots, \tau x_{P^i}, \tau x_1).$$

That is, the twisting consists of cyclically permuting the factors. In particular, if  $x_1$  is the nontrivial  $n$ -torsion point on  $E$ , and  $x_i = 0$  for  $i \geq 2$ , then

$$\text{Nm}(x_1, 0, \dots, 0) = (x_1, x_1, \dots, x_1).$$

The theorem follows.  $\square$

## 4 Monodromy on semi-abelian varieties

In this section, we will assume that  $K$  is the fraction field of a strictly henselian dvr  $R$ , and that  $A/K$  is an abelian variety such that the Néron model for  $A$  has semi-abelian reduction. Finally, let  $\ell$  be a prime not equal to the residue characteristic  $p$  of  $K$ ; for  $K = \mathbb{C}((t))$ , this is no condition at all.

Our starting point will be the following well-known theorem on the  $\ell$ -adic monodromy representation on  $A$ .

**Theorem 4.1.** *Let  $A/K$  be as above. Let  $T_\ell(A(\overline{K}))$  be the  $\ell$ -adic Tate module attached to  $A$ . Then the action of the absolute Galois group  $G$  of  $K$  on  $T_\ell(A(\overline{K}))$  factors through its maximal pro- $\ell$  quotient. If  $\tau$  is a topological generator for  $G$ , and  $\tau$  acts on  $T_\ell(A(\overline{K}))$  via  $\alpha \in \text{Aut}(T_\ell(A(\overline{K})))$ , then*

$$(\alpha - 1)^2 = 0.$$

*Proof.* See [sga72, Exp. IX, Cor. 3.5.2].  $\square$

**Lemma 4.2.** *Let  $n$  be a positive integer.*

1. In  $\mathbb{Z}[x]$ ,  $(x - 1)^2 \mid (x^n - nx + n - 1)$ .
2.  $\sum_{m=1}^n x^m \equiv \frac{n(n+1)}{2}(x-1) + n \pmod{(x-1)^2}$ .

*Proof.* For the first claim, letting  $p(x) = x^n - nx + n - 1$ , one verifies that  $p(1) = p'(1) = 0$ .

For the second claim, we use the first part of the lemma to obtain

$$x^m \equiv mx - m + 1 \pmod{(x-1)^2}.$$

Summing the right-hand side over all  $m$ , we obtain the formula stated above.  $\square$

**Proposition 4.3.** *Let  $A/K$  be as above. Let  $n$  be a positive integer not divisible by the residue characteristic of  $K$ . If  $n$  is odd, let  $L$  be the unique degree  $n$  extension over  $K$ . If  $n$  is even and the residue characteristic is not 2, let  $L$  be the unique extension of degree  $2n$ . Then the norm*

$$\text{Nm} : A(L)[n] \rightarrow A(K)[n]$$

*is the zero map.*

*Proof.* The action of  $\text{Nm}$  on  $A[n]$  is now given by the second part of Lemma 4.2; namely, in the case that  $n$  is odd,

$$\text{Nm} = \sum_{m=1}^n \alpha^m = \frac{n(n+1)}{2}(\alpha - 1) + n.$$

But the latter expression is  $0 \pmod n$ . When  $n$  is even, one instead has

$$\text{Nm} = \sum_{m=1}^{2n} \alpha^m = \frac{2n(2n+1)}{2}(\alpha - 1) + 2n$$

which again is congruent to 0. □

*Proof of Thm. 2.3.* The theorem follows immediately from Shafarevich duality and the above proposition. □

## References

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