

*Problems 1–9. Finite and algebraic extensions. (Assume that  $E$  is a field extension of the field  $F$ .)*

**Definition 1.** Suppose  $E$  is an extension field of the base field  $F$ . We say that  $E$  is a *finite extension* of  $F$  if the dimension  $[E : F]$  is finite. The dimension  $[E : F]$  is also called the *degree* of the field extension  $E$  over  $F$ . We say that  $E$  is an *algebraic extension* of  $F$  if every element of  $E$  is algebraic over  $F$ .

1. Recall that  $E$  is a vector space over  $F$  (why?). Show that if the dimension  $n = [E : F]$  is finite then  $E$  is an algebraic extension of  $F$ . In fact, every  $\alpha \in E$  is a root of a nonzero polynomial in  $F[X]$  of degree at most  $n$ . Hint: can  $1, \alpha, \alpha^2, \dots, \alpha^n$  be linearly independent?
2. Show that  $\mathbb{C}$  is an algebraic extension over  $\mathbb{R}$ , but not over  $\mathbb{Q}$ . Hint: for  $\mathbb{Q}$  the dimension is infinite, but that is a red herring. Use famous theorems about  $\pi$  and/or  $e$  instead.
3. Suppose that  $L$  is a finite extension of  $E$  with basis  $\ell_1, \dots, \ell_m$ , and suppose that  $E$  is a finite extension of  $F$  with basis  $e_1, \dots, e_n$ . Show that elements of the form  $e_i \ell_j$  form a basis for  $L$  as an  $F$ -vector space. Conclude that  $[L : F] = [L : E][E : F]$ .
4. Suppose that  $R$  is an integral domain containing the field  $F$ . Then  $R$  is a  $F$ -vector space. Show that if the dimension is finite, then  $R$  is a field. Hint: if  $v_1, \dots, v_n \in R$  is a basis and  $\alpha \neq 0$  then the vectors  $\alpha v_1, \dots, \alpha v_n$  are linearly independent, so must be a basis; write 1 in terms of this basis.
5. Show that  $\alpha \in E$  is algebraic over  $F$  if and only if  $F[\alpha]$  is a field of finite dimension over  $F$ .
6. Show that if  $\alpha_1, \alpha_2, \dots, \alpha_n \in E$  are algebraic over  $F$ , then  $F[\alpha_1, \alpha_2, \dots, \alpha_n]$  is a field of finite dimension over  $F$ .
7. Using the previous problem, show that if  $\alpha, \beta \in E$  are algebraic over  $F$ , then so are their sum and product. Show that if  $\alpha \in E$  is algebraic over  $F$  and nonzero, then  $\alpha^{-1}$  is algebraic over  $F$ . Conclude that the subset of all elements of  $E$  that are algebraic over  $F$  forms a subfield of  $E$ .
8. Show that if  $L$  is an algebraic extension of  $E$ , and  $E$  is an algebraic extension of  $F$ , then  $L$  is an algebraic extension of  $F$ . Hint: if  $\alpha \in L$  has minimal polynomial  $g \in E[X]$ , let  $\beta_0, \beta_1, \dots, \beta_{n-1}$  be the coefficients of  $g$ . What do you know about  $[E_0[\alpha] : E_0]$  and  $[E_0 : F]$  for  $E_0 = F[\beta_0, \beta_1, \dots, \beta_{n-1}]$ ?
9. Suppose  $E$  is a finite extension of  $F$ . Show that  $[E : F] = 1$  if and only if  $E = F$ .

*Problems 10–11. Algebraically closed fields. (Let  $F$  be a field)*

**Definition 2.** Let  $E$  be an extension field of the field  $F$ . We say that  $E$  is an *algebraic closure* of  $F$  if (i)  $E$  is algebraic over  $F$ , and (ii) there is no field extension  $E'$  of  $E$  with  $E' \neq E$  that is algebraic over  $F$ .

**Definition 3.** We say that a field  $E$  is *algebraically closed* if every irreducible polynomial  $f \in E[X]$  is linear. Thus  $E$  is algebraically closed if and only if every nonconstant polynomial in  $E[X]$  has a root.

10. Show that if  $E$  is an algebraic closure of  $F$  if and only if (i)  $E$  is algebraic over  $F$ , and (ii)  $E$  is algebraically closed.
11. Show that if  $F$  is a subfield of an algebraically closed field  $E$ , then  $E$  contains a unique subfield that is an algebraic closure of  $F$ . For example, the fundamental theorem of algebra says  $\mathbb{C}$  is algebraically closed. Thus  $\mathbb{Q}$  has a unique algebraic closure  $\overline{\mathbb{Q}}$  in  $\mathbb{C}$ .

It turns out that algebraic closures exist for any  $F$  and are unique up to isomorphism. (We will not really need the uniqueness, but it is an important result to know).

**Fact.** Every field  $F$  has an algebraic closure. Any two algebraic closures of  $F$  are isomorphic with an isomorphism fixing  $F$ .

*Problems 1–3. Splitting fields. (Let  $F$  be a field, which we call the base field.)*

**Definition 1.** Let  $E$  be a field. If  $f \in E[X]$  is a nonconstant polynomial that factors into linear factors in  $E[X]$ , then we say that  $f$  splits in  $E$ .

**Definition 2.** Let  $E$  be a field extension of  $F$ . Let  $f \in F[X]$  be a nonconstant polynomial. Then  $E$  is a *splitting field of  $f$  over  $F$*  if (i)  $f$  splits in  $E$ , and (ii)  $E = F[\alpha_1, \dots, \alpha_n]$  where  $\alpha_i$  are the roots of  $f$  in  $E$ .

1. Let  $f \in F[X]$  be a nonconstant polynomial, and let  $L$  be a field extension of  $F$  in which  $f$  splits. Show that there is a unique subfield of  $L$  that is a splitting field of  $f$  over  $F$ . This applies, for example, if  $L$  is an algebraically closed field containing  $F$ .

2. Show that there is a splitting field for any nonconstant  $f \in F[X]$ . Hint: use the above where  $L$  is an algebraically closed field containing  $F$ . For example, if  $F = \mathbb{Q}$  you can work in  $\mathbb{C}$ . You can also construct a splitting field directly without assuming the existence of such an  $L$ : let  $F_1 = F[X]/\langle f_1 \rangle$  where  $f_1$  is a nonlinear irreducible factor of  $f$ . Then form a sequence  $F_1 \subsetneq F_2 \subsetneq F_3 \subsetneq \dots$  in a similar way until you reach a splitting field. Later we will show that two splitting fields are isomorphic (with an isomorphism fixing  $F$ ), so the actual method of construction is not so critical.

3. Show that splitting fields are finite-dimensional extensions of the base field.

*Problem 4–5. Galois extensions. (Let  $F$  be a field, which we call the base field)*

**Definition 3.** Let  $E$  be a finite extension of  $F$ . We say that  $E$  is a *Galois extension* of  $F$  if there is a nonconstant  $f \in F[X]$  with no multiple roots in  $E$  such that  $E$  is the splitting field of  $f$  over  $F$ .

For such an extension  $E$ , the *Galois group*  $\text{Gal}(E/F)$  of  $E$  over  $F$  is the group of (ring) automorphisms of  $E$  that fix the base field  $F$ .

Let  $H$  be a subgroup of the Galois group  $G$ . The *fixed field of  $H$* , written  $E^H$ , is defined to be the set of elements of  $E$  fixed by every  $g \in H$ .

4. Let  $E$  be a finite Galois extension of  $F$ . Verify that the Galois group is indeed a group under composition. (We will later see that this group is finite.) Let  $H$  be a subgroup of the Galois group. Show that  $E^H$  is indeed a field extension of  $F$  contained in  $E$ . (Later we will show that  $E^G = F$ .)

5. Show that  $\mathbb{Q}[\sqrt{d}]$  is Galois over  $\mathbb{Q}$ . Show that  $\mathbb{Q}[2^{1/4}, i]$  is Galois over  $\mathbb{Q}$ . Show that if  $\zeta_6 \in \mathbb{C}$  is a primitive sixth root of unity, then  $\mathbb{Q}[\zeta_6]$  is Galois over  $\mathbb{Q}$ . Show that if  $E$  is a finite field of characteristic  $p$ , then  $E$  is Galois over  $\mathbb{F}_p$ . (Hint: find a polynomial with  $E$  as its set of roots.)

*Problems 6–9. Extensions of homomorphisms. (Let  $\phi : R_1 \rightarrow R_2$  be a homomorphism between commutative rings with unity.)*

6. Explain why  $\phi$  extends to a unique homomorphism  $\phi_X : R_1[X] \rightarrow R_2[X]$  that sends  $X$  to  $X$ . If  $\phi$  is injective, show that  $\phi_X$  is a degree-preserving injection. If  $\phi$  is an isomorphism, show that  $\phi_X$  is an isomorphism. When  $\phi : F_1 \rightarrow F_2$  is an isomorphism between fields, explain how the factorization of a nonzero polynomial  $f \in F_1[X]$  in  $F_1[X]$  is related to the factorization of  $\phi_X f$  in  $F_2[X]$ .

7. Given  $f \in R_1[X]$  and  $\alpha \in R_1$ , show that  $\phi(f(\alpha)) = (\phi_X f)(\phi\alpha)$ . Conclude that if  $\alpha$  is a root of  $f$ , then  $\phi(\alpha)$  is a root of  $\phi_X f$ .

8. For any  $f \in R_1[X]$ , construct a homomorphism  $R_1[X]/\langle f \rangle \rightarrow R_2[X]/\langle \phi_X f \rangle$ . Show that if  $\phi$  is an isomorphism, then the resulting homomorphism on quotients is also an isomorphism. Hint: first form the composition  $R_1[X] \rightarrow R_2[X] \rightarrow R_2[X]/\langle \phi_X f \rangle$ .

9. Suppose  $\phi : F_1 \rightarrow F_2$  is an isomorphism between fields, and that  $f \in F_1[X]$  is irreducible. Let  $E_1$  be an extension of  $F_1$  containing a root  $\alpha$  of  $f$ . Let  $E_2$  be an extension of  $F_2$  containing a root  $\beta$  of  $\phi_X f$ . Show there is a unique isomorphism  $F_1[\alpha] \rightarrow F_2[\beta]$  that extends  $\phi$  and sends  $\alpha$  to  $\beta$ .

Our goal is to prove the following “extension lemma”, and then derive significant consequences.

**Lemma.** *Let  $f \in F[X]$  be a nonconstant polynomial where  $F$  is a field. Let  $E$  and  $E'$  be splitting fields of  $f$  over  $F$ . Let  $L$  be a subfield of  $E$  that contains  $F$ . Then every homomorphism  $\phi : L \rightarrow E'$  fixing  $F$  can be extended to a homomorphism  $E \rightarrow E'$ . If  $f$  does not have multiple roots in  $E'$ , then there are exactly  $[E : L]$  such extensions. (In general, whether or not  $f$  has multiple roots,  $[E : L]$  is an upper bound.)*

*Problems 1–4. Proof of the lemma. (Assume  $F, f, E, E', L$ , and  $\phi$  are as above.)*

1. Suppose that  $\alpha \in E$  is a root of  $f$ . Let  $f_1$  be the minimal polynomial of  $\alpha$  over  $L$ . We know from GT 2.7 that any extension of  $\phi$  to  $L[\alpha] \rightarrow E'$  must map  $\alpha$  to a root of  $\phi_X f_1$ . Conclude that the number of such extensions is bounded by the number of roots of  $\phi_X f_1$  in  $E'$ .
2. Let  $\alpha$  and  $f_1$  be as above. Show that  $\phi_X f_1$  is a polynomial of degree  $[L[\alpha] : L]$  that divides  $f$  in  $E'[X]$ . Show that it has at least one root in  $E'$  and at most  $[L[\alpha] : L]$  roots in  $E'$ . Show that it has exactly  $[L[\alpha] : L]$  roots if  $f$  does not have multiple roots in  $E'$ .
3. Let  $\alpha$  and  $f_1$  be as above. Let  $L'$  be the image of  $L$  under  $\phi$ . For any root  $\beta$  of  $\phi_X f_1$ , use GT 2.9 to show that there is a unique extension  $L[\alpha] \rightarrow L'[\beta]$  of the isomorphism  $L \rightarrow L'$  that sends  $\alpha$  to  $\beta$ . Conclude that the total number of homomorphisms  $L[\alpha] \rightarrow E'$  extending  $\phi$  is equal to the number of distinct roots of  $\phi_X f_1$  in  $E'$ .
4. Prove the lemma. Hint: induction on  $[E : L]$ . If  $E$  is not  $L$  then consider  $E$  over  $L[\alpha]$  where  $\alpha$  is a root of  $f$  in  $E$  but not in  $L$ . Use 1–3 above to consider extensions from  $L$  to  $L[\alpha]$ , and the induction hypothesis to move from  $L[\alpha]$  to  $E$ .

*Problems 5–10. Consequences of the lemma. (Let  $F$  be a field.)*

5. Suppose  $E$  and  $E'$  are splitting fields of a nonconstant polynomial  $f \in F[X]$ . Show that any homomorphism  $\phi : E \rightarrow E'$  fixing  $F$  is actually an isomorphism. Furthermore, number of distinct roots of  $f$  is the same in  $E$  and  $E'$ . Hint: Let  $E''$  be the image. Observe that  $f = \phi_X f$  must factor into linear factors in  $E''[X]$ , and the number of distinct factors is the same in  $E''[X]$  and  $E[X]$ .
6. Conclude that any two splitting fields  $E, E'$  of a polynomial  $f \in F[x]$  are isomorphic with an isomorphism fixing the base field. Show that if  $f$  has distinct roots in  $E$  (or equivalently in  $E'$ ), then there are exactly  $[E : F]$  such isomorphisms. Conclude also that  $[E : F] = [E' : F]$ .
7. Prove the following.

**Theorem.** *If  $E$  is a finite Galois extension of  $F$ , then there are exactly  $[E : F]$  elements in the Galois group of  $E$  over  $F$ .*

8. Suppose that  $E$  is a finite Galois extension of  $F$  and that  $L$  is an intermediate field between  $F$  and  $E$ . Show that  $E$  is Galois over  $L$ , and that  $\text{Gal}(E/L)$  is a subgroup of  $\text{Gal}(E/F)$ .
9. Prove the following. (Hint:  $[E : E^G][E^G : F] = [E : F]$ . Show that  $[E : E^G] = |G| = [E : F]$  by the earlier theorem. Why is  $\text{Gal}(E/E^G) = G$ ?)

**Theorem.** *Suppose  $E$  is a finite Galois extension of  $F$ , and that  $G$  is the Galois group of  $E$  over  $F$ . Then  $E^G = F$ .*

10. Show that if  $E$  is a splitting field over  $F$ , and  $L$  is an intermediate field between  $F$  and  $E$ , then any automorphism of  $L$  fixing  $F$  can be extended to an automorphism of  $E$ .

**Definition 1.** Let  $E$  be a finite Galois extension of  $F$  with Galois group  $G$ . Let  $\alpha \in E$ . Then any element of the form  $\sigma\alpha$  with  $\sigma \in G$  is called a  $G$ -conjugate of  $\alpha$  (or simply a conjugate of  $\alpha$  if  $G$  is clear from context).

*Problems 1–3. Conjugates. (Let  $E$  be a finite Galois extension of  $F$  with Galois group  $G$ .)*

1. Show that  $\alpha \in E$  has at most  $[E : F]$  conjugates, and is in  $F$  if and only if it has only 1 conjugate.
2. Suppose that  $\alpha \in E$  has minimal polynomial  $f \in F[X]$  over  $F$ . Show that any conjugate of  $\alpha$  is a root of  $f$ . (We will show every root is a conjugate later). Conclude that if  $\sigma \in G$ , then  $X - \sigma\alpha$  divides  $f$  in  $E[X]$ .
3. Let  $\alpha \in E$ , and let  $H = \{\sigma \in G \mid \sigma\alpha = \alpha\}$ . Show that  $H$  is a subgroup of  $G$ . Given  $\sigma, \tau \in G$ , show that  $\sigma\alpha = \tau\alpha$  if and only if  $\sigma H = \tau H$  as cosets. Show that the map  $\sigma H \mapsto \sigma\alpha$  is a (well-defined) bijection between the (left) cosets of  $H$  and the  $G$ -conjugates of  $\alpha$ . Conclude that the number of  $G$ -conjugates of  $\alpha$  is  $[G : H]$ . Conclude that the number of  $G$ -conjugates of  $\alpha$  divides  $[E : F]$ .

*Problems 4–5. Minimal Polynomial Formula. (Let  $E$  be a finite Galois extension of  $F$  with Galois group  $G$ . Let  $\alpha \in E$ , and let  $\alpha_1, \dots, \alpha_m$  be the distinct  $G$ -conjugates of  $\alpha$ .)*

4. Let  $f$  be the minimal polynomial of  $\alpha$  in  $F[X]$ . Show that  $\prod_{i=1}^m (X - \alpha_i)$  divides  $f$  in  $E[X]$ .
5. Let  $\sigma \in G$ . Show that  $\sigma$  permutes the set of conjugates  $\{\alpha_1, \dots, \alpha_m\}$ . Let  $h = \prod_{i=1}^m (X - \alpha_i)$ . Show that  $\sigma_X h = h$ . Conclude that  $h \in F[X]$ . Now prove the following theorem and corollary:

**Theorem.** Let  $E$  be a finite Galois extension of  $F$  with Galois group  $G$ . Let  $\alpha_1, \dots, \alpha_m$  be the  $G$ -conjugates of  $\alpha \in E$ . Then the minimal polynomial  $f$  of  $\alpha$  in  $F[X]$  is

$$f(X) = \prod_{i=1}^m (X - \alpha_i).$$

**Corollary.** Let  $E$  be a finite Galois extension of  $F$ . If  $f \in F[X]$  is irreducible, and if at least one root of  $f$  is in  $E$ , then  $f$  splits in  $E$  and  $f$  has distinct roots in  $E$ .

*Problems 6–10. Galois group as a permutation groups. (Let  $E$  be a finite Galois extension of  $F$  with Galois group  $G$ .)*

6. Let  $f$  be a polynomial that splits in  $E$ . Show that each element of  $G$  permutes the roots of  $f$ . Thus if we number the roots of  $f$  as  $1, 2, \dots, m$  then each element of  $G$  can be assigned to a permutation in  $\mathcal{S}_m$ . Show that the map  $G \rightarrow \mathcal{S}_m$  is a homomorphism.
7. Let  $f$  be chosen so that  $E$  is the splitting field of  $f$  over  $F$ . Show that the homomorphism  $G \rightarrow \mathcal{S}_m$  discussed above is injective. So, in this case, we can represent  $G$  as a subgroup of  $\mathcal{S}_m$ .
8. Show that if  $f$  is an irreducible polynomial in  $F[x]$  that splits in  $E$ , then  $G$  acts transitively on the roots of  $f$ . Thus the image of  $G$  in  $\mathcal{S}_m$  acts transitively on  $\{1, 2, \dots, m\}$ . ( $G$  acts transitively on a set means that given any two elements of the set, you can find an element of  $G$  that maps the first element to the second.)
9. Suppose that  $E$  is the splitting field of an irreducible cubic  $f \in F[x]$  (with distinct roots). Use Problems 6–8 above to represent the Galois group as a subgroup of  $\mathcal{S}_3$ . List the possible subgroups of  $\mathcal{S}_3$ . What about if  $f$  is an irreducible quadratic?
10. Let  $E = \mathbb{Q}(2^{1/4}, i)$ . Show that  $E$  is Galois over  $\mathbb{Q}$ , and that its Galois group  $G$  has 8 elements. (Hint: what is the degree of  $\mathbb{Q}(2^{1/4})$  over  $\mathbb{Q}$ ?) Describe  $G$  explicitly based on the images of  $2^{1/4}$  and  $i$ . Describe how the elements of  $G$  permute the roots of  $X^4 - 2$ . Now relate  $G$  to the symmetries of the square (the dihedral group with 8 elements), and conclude that  $G$  is isomorphic to this dihedral group.

Our next goal will be to prove the following.

**Theorem** (Primitive Element Theorem). *Let  $L$  be an extension of  $F$ . If there is a finite Galois extension  $E$  of  $F$  containing  $L$ , then there is an element  $\alpha \in L$  such that  $L = F[\alpha]$ .*

*Problems 1–3. Intermediate fields. (Let  $E$  be a finite Galois extension of  $F$  with Galois group  $G$ .)*

1. Let  $L$  be an intermediate field between  $F$  and  $E$ . Recall that  $E$  is Galois over  $L$ , and that the Galois group of  $E$  over  $L$  is the following subgroup:

$$G_L \stackrel{\text{def}}{=} \{\sigma \in G \mid \sigma\beta = \beta \text{ for all } \beta \in L\}.$$

Observe that the map  $L \mapsto G_L$  maps the set of intermediate subfields between  $F$  and  $E$  to the finite set of subgroups of  $G$ .

2. Suppose  $L$  and  $L'$  are intermediate fields between  $F$  and  $E$ . Show that if  $L \neq L'$  then  $G_L \neq G_{L'}$ . Hint: Suppose  $G_L = G_{L'}$ . So  $E^{G_L} = E^{G_{L'}}$ . Now use a previous theorem.

3. Show that there are only a finite number of intermediate fields between  $F$  and  $E$ .

*Problem 4–6. Some linear algebra. (Let  $V$  be a vector space over  $F$ .)*

4. Consider the set  $\{u + t(v - u) \mid t \in F\}$  where  $u, v \in V$ . We call such a set a “line”. Given a subspace  $W \subseteq V$  and such a line, show that either the line is contained in  $W$ , or intersects  $W$  in at most one point.

5. Let  $W_1$  and  $W_2$  be two distinct proper subspaces of  $V$ . Show that there is a vector  $w \in V$  which is not in the union of  $W_1$  and  $W_2$ . (Hint: let  $u \notin W_1$  and  $v \notin W_2$ . Consider the associated line. How does this line intersect  $W_1$  and  $W_2$ ? If  $F = \mathbb{F}_2$  then a separate argument must be given.)

6. Generalize the above to the following proposition. How is the assumption that  $F$  is infinite used in your proof? Hint: use induction, and lines.

**Proposition.** *Let  $V$  be a vector space with infinite scalar field  $F$ . Let  $W_1, \dots, W_n$  be a finite collection of proper subvector spaces. Then there is a vector of  $V$  not in the union  $\bigcup W_i$ .*

*Problem 7–9. The Primitive Element Theorem. (Let  $E$  be a finite Galois extension of  $F$ .)*

7. Suppose  $F$  is infinite. Let  $L$  be an intermediate field between  $F$  and  $E$ . Show that  $L = F[\alpha]$  for some  $\alpha \in L$ . Hint: use the above proposition with  $V = L$ .

8. Prove that the primitive element theorem holds for finite  $F$  as well. Hint: use the following fact.

**Fact.** *If  $F$  is a field then any finite subgroup of  $F^\times$  is cyclic.*

9. Let  $E = \mathbb{Q}[2^{1/4}, i]$  as in Problem 10 of GT4. Find  $\alpha \in E$  such that  $E = \mathbb{Q}[\alpha]$ . Hint: find  $\alpha \in E$  such that  $\sigma\alpha \neq \alpha$  for all non-identity elements  $\sigma \in G$ . Show that such  $\alpha$  cannot be in a proper subfield  $L$  of  $E$  intermediate between  $F$  and  $E$ .

*Problems 1–5. Multiple roots and derivatives. Perfect fields. (Let  $F$  be a field.)*

**Proposition.** *Suppose  $f \in F[X]$  splits in an extension  $E$  and  $f \neq 0$ . Then  $f$  has a multiple root in  $E$  if and only if  $\gcd(f, f')$  is of positive degree.*

**Corollary.** *Suppose  $f \in F[X]$  is irreducible and splits in an extension  $E$ . Then  $f$  has multiple roots in  $E$  if and only if  $f' = 0$ .*

**Definition 1.** Suppose  $f \in F[X]$  is nonzero. If  $f$  splits with distinct roots in an extension  $E$  we say  $f$  is *separable*. So if  $f$  is irreducible,  $f$  is separable if and only if  $f' \neq 0$ . The field  $F$  is called *perfect* if  $f' \neq 0$  for all irreducible  $f \in F[X]$ .

1. Prove the above proposition and corollary.
2. Describe the polynomials  $f \in F[X]$  with  $f' = 0$ . (In both characteristic 0 and positive characteristic). Conclude that any field of characteristic 0 is perfect.
3. Suppose that  $F$  is a field of characteristic  $p > 0$ , and that  $F^p = F$  where  $F^p$  be the set of  $p$ th powers. Show, for all  $f \in F[X]$ , that  $f' = 0$  if and only if  $f$  is of the form  $(f_0)^p$  for some  $f_0 \in F[X]$ . Conclude that every irreducible polynomial has nonzero derivative, and that  $F$  is perfect.
4. On the other hand, suppose  $F^p \neq F$  where  $F$  has characteristic  $p > 0$ . Let  $c \in F$  where  $c \notin F^p$ . Show  $X^p - c$  is irreducible and has zero derivative. Conclude that  $F$  is not perfect. Hint: If  $r$ , in some extension  $E$ , is a root, then  $c = r^p$ . So  $r \notin F$ , but  $X^p - c = (X - r)^p$  in  $E[x]$ . Suppose that  $g \in F[x]$  is an irreducible factor, so  $g$  factors as a power of  $(X - r)$  in  $E[x]$ . So  $g$  has multiple roots. Now use the Corollary to show that  $g$  has degree  $p$ .
5. Let  $F$  be a field of characteristic  $p > 0$ . Show that  $X^p - 1 = (X - 1)^p$ , and conclude that the homomorphism  $x \mapsto x^p$  is an injection  $F^\times \rightarrow F^\times$ . If  $F$  is finite, show it is a surjection. Show that if  $F$  is finite then  $F^p = F$ , and so  $F$  is perfect. Hint: what is the kernel of  $x \mapsto x^p$ ?

*Problems 6–9. Separable extensions. (Let  $F$  be a field and let  $E$  be a finite extension of  $F$ .)*

**Definition 2.** An algebraic extension  $L$  of  $F$  is called *separable* over  $F$  if the minimal polynomial in  $F[X]$  of every element of  $L$  is separable.

6. Suppose  $E$  is Galois over  $F$ . Show that any intermediate  $L$  with  $F \subseteq L \subseteq E$  is separable over  $F$ .
7. Show that a nonconstant  $f \in F[X]$  is separable if and only if it factors into distinct (nonassociate) separable irreducible polynomials. Suppose  $L = F[\alpha_1, \dots, \alpha_n]$  where the minimal polynomial of each  $\alpha_i$  in  $F[X]$  is separable. Show that  $L$  is contained in a finite Galois extension of  $F$ , and that  $L$  is separable.
8. Prove the following:

**Proposition.** *Let  $L$  be a finite extension of  $F$ . The following are equivalent.*

1.  $L$  is separable over  $F$ .
  2.  $L$  is contained in a finite Galois extension of  $F$ .
  3.  $L = F[\alpha]$  where the minimal polynomial of  $\alpha$  in  $F[X]$  is separable.
9. Show that if  $F$  is perfect, then any algebraic extension of  $F$  is separable.

*Problems 1–3. Subgroups are Galois groups. (Let  $E$  be a finite Galois extension of  $F$  with Galois group  $G$ . Let  $H$  be a subgroup of  $G$ , and let  $E^H$  be the fixed field of  $H$ .)*

1. Show that  $H$  is a subgroup of  $\text{Gal}(E/E^H)$ .
2. Let  $\alpha \in E$  be such that  $E = F[\alpha]$ . Note that  $E = E^H[\alpha]$ . Consider the following polynomial

$$g \stackrel{\text{def}}{=} \prod_{\sigma \in H} (X - \sigma(\alpha)).$$

Show that  $g \in E^H[X]$ . Use results of GT4 to show that  $g$  divides the minimal polynomial of  $\alpha$  over  $E^H$ , hence  $g$  is the minimal polynomial of  $\alpha$  over  $E^H$ .

3. Conclude that  $[E : E^H] = |H|$ . However, by GT3, we know that  $[E : E^H] = |\text{Gal}(E/E^H)|$ . Conclude that  $H = \text{Gal}(E/E^H)$ .

**Proposition.** *Let  $E$  be a finite Galois extension of  $F$  with Galois group  $G$ . Then every subgroup of  $G$  is itself a Galois group. More specifically, if  $H$  is a subgroup of  $G$ , then  $H = \text{Gal}(E/E^H)$ .*

*Problem 4–5. The Galois correspondence. (Let  $E$  be a finite Galois extension of  $F$  with Galois group  $G$ . For any intermediate field  $L$ , let  $G_L = \text{Gal}(E/L)$ .)*

4. Rephrase the above proposition as follows. If  $H$  is a subgroup of  $G$ , then  $G_{E^H} = H$ .
5. Let  $L$  be an intermediate field between  $F$  and  $E$ . Show that  $E^{G_L} = L$ , and prove the following theorem. Hint: use GT3.9.

**Theorem (Galois Correspondence).** *Let  $E$  be a finite Galois extension of  $F$  with Galois group  $G$ . There is an inclusion reversing bijection between (i) the set of subgroups of  $G$  and (ii) the set of subfields  $L$  of  $E$  that contain  $F$ . The bijection from (i) to (ii) sends a subgroup  $H$  to  $E^H$ . The inverse bijection from (ii) to (i), which is also inclusion reversing, sends an intermediate field  $L$  to  $G_L$ .*

*Problem 6–9. Normal subgroups. (Let  $E$  be a finite Galois extension of  $F$  with Galois group  $G$ . Let  $H$  be a subgroup of  $G$ .)*

6. Suppose  $H$  is a normal subgroup. Show that if  $\beta \in E^H$ , then all its  $G$ -conjugates are in  $E^H$ .
7. Suppose  $H$  is a normal subgroup. Show that  $E^H$  is Galois over  $F$ . Hint: write  $E^H = F[\beta]$  for some  $\beta \in E$ , and consider the splitting field (in  $E$ ) of the minimal polynomial of  $\beta$ .
8. Suppose  $E^H$  is a Galois extension of  $F$ . Show that if  $\alpha \in E^H$  and  $\sigma \in G$  then  $\sigma\alpha \in E^H$ . Hint: By the minimal polynomial formula, the minimal polynomial  $f \in F[X]$  of  $\alpha$  has  $\sigma\alpha$  as one of its roots.
9. Suppose  $E^H$  is a Galois extension of  $F$ . Show that the map  $G \rightarrow \text{Gal}(E^H/F)$  sending  $\sigma$  to its restriction to  $E^H$  is a group homomorphism (and is well-defined). Identify its kernel and image. Hint: For the image, consider GT3.10 (extension property), or just give a counting argument. Conclude that  $H$  is a normal subgroup of  $G$ . Prove the following:

**Theorem (Galois Correspondence, Part 2).** *Under the bijections of the previous theorem, normal subgroups of  $G$  correspond to Galois extensions of  $F$  (contained in  $E$ ). If  $H$  is a normal subgroup of  $G$  and  $E^H$  is Galois over  $F$ , then*

$$\text{Gal}(E^H/F) \cong G/H.$$

*Problems 1–4. The top-down approach, where we start with  $E$  and form  $F$ . (Let  $E$  be a field. The automorphisms of  $E$  form a group, perhaps an infinite group: see Problem 7 below for an example. Let  $G$  be a finite subgroup of the automorphism group of  $E$ , and let  $F = E^G$  be the field fixed by  $G$ .)*

1. Let  $\alpha \in E$ , and let  $\alpha_1, \dots, \alpha_n$  be all the distinct elements of the form  $\sigma\alpha$  where  $\sigma \in G$ . Show that  $f = \prod (X - \alpha_i)$  is in  $F[X]$ , and is the minimal polynomial of  $\alpha$  over  $F$ . Conclude that  $E$  is algebraic and separable over  $F$ , and that the minimal polynomial of any element of  $E$  splits in  $E$  with degree at most  $|G|$  and with distinct roots in  $E$ .

2. Let  $L$  be any finite extension of  $F$  contained in  $E$ . Since  $L = F[\alpha]$  for some  $\alpha \in E$ , show that

$$[L : F] \leq |G|.$$

Conclude that  $E$  itself is a finite extension of  $F$  with  $[E : F] \leq |G|$ .

3. Thus  $E = F[\alpha]$  for some  $\alpha \in E$ . Show that  $E$  is the splitting field of the minimal polynomial of  $\alpha$  over  $F$ . Conclude that  $E$  is Galois over  $F$ .

4. Show that  $G$  is a subgroup of  $\text{Gal}(E/F)$ , so  $|G| \leq [E : F]$ . Prove the following:

**Theorem.** *Let  $E$  be a field. Let  $G$  be a finite subgroup of the automorphism group of  $E$ . Then  $E$  is a finite Galois extension of  $F = E^G$  with Galois group  $G$ .*

*Problems 5–7. Numerically Galois extensions. As we will show, if  $E$  is a finite extension of  $F$  then there are at most  $[E : F]$  automorphisms of  $E$  fixing  $F$ . We now develop the point of view that Galois extensions are extensions with as many such automorphisms as possible. (Let  $E$  be a finite extension of  $F$ . Let  $G$  be the group of automorphisms of  $E$  which fix  $F$ .)*

5. Show that  $G$  is finite. Hint: write  $E = F[\alpha_1, \dots, \alpha_k]$  and consider the set of roots  $R$  in  $E$  of the minimal polynomials over  $F$  of the elements  $\alpha_i$ . Show that  $G$  injects into the permutations group  $\mathcal{S}_R$ .

6. Show that  $|G|$  divides  $[E : F]$ , so  $|G| \leq [E : F]$ . Show that  $|G| = [E : F]$  if and only if  $[E^G : F] = 1$ . Hint: use the above theorem with  $F' = E^G$ .

**Definition.** Let  $E$  be a finite extension of  $F$ . If the number of automorphisms of  $E$  fixing  $F$  is  $[E : F]$  then we say that  $E$  is *numerically Galois* over  $F$ .

7. Show that  $E$  is numerically Galois over  $F$  if and only if  $E$  is Galois over  $F$ .

*Problems 8–10. Examples of the top-down approach. (Let  $K$  be a field. These problems assume knowledge of fields of fractions.)*

**Fact.** *Suppose  $R$  is an integral domain, and that  $F$  is a field. Then any injective ring homomorphism  $R \rightarrow F$  extends uniquely to a homomorphism from the field of fractions of  $R$  to  $F$ .*

8. Consider the evaluation homomorphism  $K[X] \rightarrow K[X]$  which sends  $X$  to  $X - 1$ . Show that it is an isomorphism. Show that it extends to an automorphism  $\sigma$  of  $K(X)$ . Show that if  $K$  is infinite, then  $\sigma$  has infinite order in the automorphism group of  $K(X)$ . Thus automorphism groups can be infinite.

9. Suppose that  $K$  contains an element  $\zeta \neq 1$  such that  $\zeta^3 = 1$ . Show that the evaluation homomorphism  $K[X] \rightarrow K[X]$  which sends  $X$  to  $\zeta X$  is an isomorphism. Conclude that it extends to an automorphism  $\tau$  of  $K(X)$  such that  $\tau^3$  is the identity map. Let  $G$  be the group generated by  $\tau$ . Show that  $K(X)^G = K(X^3)$ . Hint: show  $X^3 \in K(X)^G$ , and that  $X$  satisfies a cubic with coefficients in  $K(X^3)$ .

10. Consider the evaluation homomorphism  $K[X] \rightarrow K(X)$  which sends  $X$  to  $1/X$ . Show that it extends to an automorphism  $\gamma$  of  $K(X)$ . Show that  $\gamma^2$  is the identity map. Let  $G$  be the cyclic group generated by  $\gamma$ . Show that  $K(X)^G = K(X + X^{-1})$ . Hint: show  $X + X^{-1} \in K(X)^G$ , and that  $X$  satisfies a quadratic with coefficients in  $K(X + X^{-1})$ .



*Cyclotomic extensions.*

**Definition 1.** Roots of  $X^n - 1$  in a field  $E$  are called  *$n$ th roots of unity*. If an  $n$ th root of unity has multiplicative order exactly  $n$  then it is called a *primitive  $n$ th root of unity*.

**Definition 2.** The  *$n$ th cyclotomic extension of a field  $F$*  is the splitting field of  $X^n - 1$  over  $F$ . Let  $\mu_n$  be the multiplicative group of  $n$  roots of unity in the  $n$ th cyclotomic extension of  $F$ .

**Fact.** Let  $F$  be a field. Then any finite subgroup of  $F^\times$  is cyclic. If  $C$  is a cyclic group of order  $n$  then there are  $\phi(n)$  elements which generate  $C$  where  $\phi(n)$  is the Euler  $\phi$  function.

*Problems 1–2. Cyclotomic field extensions. (Let  $F$  be a field, and  $n$  a positive integer. Assume that the characteristic of  $F$  does not divide  $n$ ; for example, this holds if  $F$  has characteristic zero.)*

1. Show that the  $n$ th cyclotomic extension of  $F$  is Galois. Show that the  $n$ th roots of unity form a cyclic subgroup of  $F^\times$  of order  $n$ , and that there are  $\phi(n)$  primitive  $n$ th roots of unity.
2. Let  $E$  be the  $n$ th cyclotomic extension of  $F$ . If  $\zeta_n \in E$  is a primitive  $n$ th root of unity then  $E = F[\zeta_n]$ .

*Problems 3–9. The Galois group of the cyclotomic extension. Cyclotomic polynomials. (Let  $F$  be a field, and  $n$  a positive integer. Assume that the characteristic of  $F$  does not divide  $n$ . Let  $E$  be the  $n$ th cyclotomic extension of  $F$ , and let  $\zeta_n \in E$  be a primitive  $n$ th root of unity. Let  $G$  be the Galois group of  $E$  over  $F$ .)*

3. Let  $\sigma \in G$ . Show that if  $\alpha \in E$  is a primitive  $n$ th root of unity, then so is  $\sigma\alpha$ . Conclude that

$$\sigma\zeta_n = \zeta_n^{m(\sigma)}$$

for some  $m(\sigma)$  prime to  $n$ .

4. Let  $\sigma$  and  $m(\sigma)$  be as above. Show that we can think of  $m(\sigma)$  as an element of  $(\mathbb{Z}/n\mathbb{Z})^\times$ , and if we do so then  $m(\sigma)$  is unique. Show also that  $\sigma(\alpha) = \alpha^{m(\sigma)}$  for all  $\alpha \in \mu_n$ . Conclude that  $m(\sigma)$  is independent of the choice of primitive  $n$ th root of unity  $\zeta_n$  in  $E$ .
5. Show that the map  $G \rightarrow (\mathbb{Z}/n\mathbb{Z})^\times$  defined by the rule  $\sigma \mapsto m(\sigma)$  is a homomorphism. Show that this homomorphism is injective. Thus  $G$  is isomorphic to a subgroup of  $(\mathbb{Z}/n\mathbb{Z})^\times$ , and so  $[E : F]$  divides  $\phi(n)$ , and  $G$  is abelian.
6. Let  $\mu'_n$  be the set of primitive  $n$ th roots of unity. Show that  $\Phi_F(n) \stackrel{\text{def}}{=} \prod_{\alpha \in \mu'_n} (X - \alpha)$  is a polynomial in  $F[x]$  of degree  $\phi(n)$ , and that the minimal polynomial of  $\zeta_n$  divides  $\Phi_F(n)$ . Show that  $\Phi_F(n)$  does not depend on the choice of splitting field  $E$  of  $X^n - 1$ . When we write  $\Phi(n)$ , we mean  $\Phi_{\mathbb{Q}}(n)$ .
7. Show that  $X^n - 1 = \prod_{d|n} \Phi_F(d)$  in  $F[X]$ . Use this recursion to show that if  $F$  is any field containing  $\mathbb{Q}$ , then  $\Phi_F(n) = \Phi(n)$  and so  $\Phi_F(n) \in \mathbb{Q}[X]$ . Use this recursion to calculate as many  $\Phi(n)$  as you have patience for.
8. Use Gauss's lemma or the idea of integral elements to show that  $\Phi(n)$  is monic with coefficients in  $\mathbb{Z}[X]$ . If  $F$  has characteristic  $p$ , use the above recursion to show that  $\Phi_F(n)$  is just the polynomial obtained by taking the  $\Phi(n) \in \mathbb{Z}[x]$  and reducing the coefficients mod  $p$ .
9. Suppose  $F = \mathbb{Q}$ , and  $f \in \mathbb{Q}[X]$  is the minimal (monic) polynomial of  $\zeta_n$ . Observe that  $f$  is a primitive polynomial of  $\mathbb{Z}[X]$ . Show that if  $g \in \mathbb{Z}[X]$  has root  $\zeta_n$ , then  $g$  is a multiple of  $f$  in  $\mathbb{Z}[X]$ . Use the evaluation map  $\mathbb{Z}[X] \rightarrow \mathbb{Z}[\zeta_n]$  to show that  $\mathbb{Z}[\zeta_n]$  is isomorphic to  $\mathbb{Z}[X]/\langle f \rangle$ . Hint: factor  $g$  in  $\mathbb{Z}[X]$ . Since this gives a factorization in  $\mathbb{Q}[X]$ ,  $f$  must be a  $\mathbb{Q}[X]$ -associate to a primitive irreducible polynomial factor  $h$  of  $g$ . What does that say about  $f$  versus  $h$ ?

*Problem 1–4. The  $p$ th reduction map. (Let  $E$  be the  $n$ th cyclotomic extension of  $\mathbb{Q}$ , and let  $\mu_n$  be the  $n$ th roots of unity. Let  $\zeta_n$  be a fixed generator of the cyclic group  $\mu_n$ , and let  $f \in \mathbb{Q}[X]$  be the (monic) minimal polynomial of  $\zeta_n$ . Let  $p$  be a prime not dividing  $n$ , and let  $\bar{E}$  be the  $n$ th cyclotomic extension of  $\mathbb{F}_p$ . Let  $\bar{\mu}_n$  be the  $n$ th roots of unity in  $\bar{E}$ . Let  $\pi : \mathbb{Z} \rightarrow \mathbb{F}_p$  be the reduction homomorphism. Recall that  $\pi$  extends to a homomorphism  $\pi_X : \mathbb{Z}[X] \rightarrow \mathbb{F}_p[X]$  which takes a polynomial and reduces its coefficients modulo  $p$ .)*

1. Show that  $\Phi(n) = fg$  for some monic  $g \in \mathbb{Q}[X]$ , and use Gauss's lemma or integrality to show that, in fact,  $f, g \in \mathbb{Z}[X]$ . Show that  $\Phi_{\mathbb{F}_p}(n) = \bar{f}\bar{g}$  where  $\bar{f} = \pi_X f$  and  $\bar{g} = \pi_X g$ . Let  $\bar{\zeta}_n$  be a choice of root of  $\bar{f}$  in  $\bar{E}$ . Show that  $\bar{\zeta}_n$  is a primitive  $n$ th root of unity in  $\bar{\mu}_n$ .

2. Let  $\bar{\zeta}_n$  be as above, and consider the homomorphism  $\mathbb{Z}[X] \rightarrow \mathbb{F}_p[\bar{\zeta}_n]$  obtained by composing the homomorphism  $\pi_X : \mathbb{Z}[X] \rightarrow \mathbb{F}_p[X]$  with the evaluation map  $\mathbb{F}_p[X] \rightarrow \mathbb{F}_p[\bar{\zeta}_n]$  sending  $X$  to  $\bar{\zeta}_n$ . Show that this composition has a kernel containing  $f$ , so we have a map  $\mathbb{Z}[X]/\langle f \rangle \rightarrow \mathbb{F}_p[\bar{\zeta}_n]$  sending  $\bar{X}$  to  $\bar{\zeta}_n$ . Recall from GT 9.9 that  $\mathbb{Z}[\zeta_n]$  is isomorphic to  $\mathbb{Z}[X]/\langle f \rangle$ . Use this to construct a homomorphism

$$\mathbb{Z}[\zeta_n] \rightarrow \mathbb{F}_p[\bar{\zeta}_n]$$

with  $\zeta_n \mapsto \bar{\zeta}_n$ . Call this map the  $p$  reduction map. If  $\alpha \in \mathbb{Z}[\zeta_n]$  then we write  $\bar{\alpha}$  for its image in  $\mathbb{F}_p[\bar{\zeta}_n]$ .

3. Show that this  $p$ th reduction map induces an isomorphism  $\mu_n \rightarrow \bar{\mu}_n$ . Show that this isomorphism restricts to give a bijection between roots of  $f$  and roots of  $\bar{f}$ .

4. Suppose  $\alpha \in \mu_n$  is a root of  $f$  and so, by Problem 3,  $\bar{\alpha}$  is a root of  $\bar{f}$ . Show that  $\bar{\alpha}^p$  is a root of  $\bar{f}$ . Conclude that  $\alpha^p$  is a root of  $f$ . Hint: use the  $p$ th Frobenius automorphism  $x \mapsto x^p$ .

*Problems 5–7. Irreducibility of the Cyclotomic Polynomial. (Let  $E$  be the  $n$ th cyclotomic extension of  $\mathbb{Q}$ . Let  $\zeta_n \in E$  be a fixed primitive  $n$ th root of unity with minimal polynomial  $f$  over  $\mathbb{Q}$ .)*

5. Show that if  $\alpha \in E$  is any root of  $f$ , and  $k$  is any positive integer prime to  $n$ , then  $\alpha^k$  is a root of  $f$ . Hint: use Problem 4.

6. Show that every primitive  $n$ th root of unity is a root of  $f$ . Show that  $f = \Phi(n)$ . Prove the following theorem and corollaries.

**Theorem.** *The  $n$ th cyclotomic polynomial  $\Phi(n)$  is irreducible in  $\mathbb{Q}[X]$ .*

**Corollary 1.** *The  $n$ th cyclotomic extension  $E$  of  $\mathbb{Q}$  is Galois over  $\mathbb{Q}$  with Galois group isomorphic to  $(\mathbb{Z}/n\mathbb{Z})^\times$ . Hence  $[E : \mathbb{Q}] = \phi(n)$ .*

**Corollary 2.** *Suppose  $E$  is the  $q$ th cyclotomic extension of  $\mathbb{Q}$  where  $q$  is a prime. If  $L$  is a field intermediate between  $\mathbb{Q}$  and  $E$ , then  $[L : \mathbb{Q}]$  divides  $q - 1$ . For every divisor  $d$  of  $q - 1$  there is a unique intermediate extension  $L_d$  with  $[L_d : \mathbb{Q}] = d$ . The field  $L_d$  is Galois over  $\mathbb{Q}$  with Galois group cyclic of order  $d$ . For such divisors  $d, d'$ , the field  $L_d$  is contained in  $L_{d'}$  if and only if  $d|d'$ .*

7. Suppose that  $q$  is an odd prime. Show that the  $q$ th cyclotomic extension of  $\mathbb{Q}$  contains a unique quadratic (degree 2) extension  $L$  of  $\mathbb{Q}$ . Our next goal will be to describe this quadratic extension.

*Problem 8–9. Quadratic extensions and square roots. (Let  $E$  be a quadratic (degree 2) extension of a field  $F$ . Assume that the characteristic of  $F$  is not 2)*

8. Show that  $E$  is Galois over  $F$ . If  $\sigma \neq \text{id}$  in the Galois group, and  $\beta \in E$  then  $\sigma\beta$  is written  $\bar{\beta}$ .

9. Suppose  $\beta \in E$  not in  $F$ . Let  $\delta = \beta - \bar{\beta}$ . Show that  $\bar{\delta} = -\delta$ . Conclude that the minimal polynomial of  $\delta$  is  $(X - \delta)(X + \delta) = X^2 - \delta^2$ . In particular  $d = \delta^2 \in F$ , and  $E = F[\delta]$ . We write  $\delta$  as  $\sqrt{d}$  (although, really  $d$  has two square roots in  $E$ , which differ by a sign), and  $E = F[\sqrt{d}]$ . Show that  $a + b\sqrt{d} = a - b\sqrt{d}$  for any  $a, b \in F$ . If  $F = \mathbb{Q}$  show further that  $E = F[\sqrt{d}]$  where  $d$  can be chosen to be a square-free integer  $d \neq 1$ , and such  $d$  is unique (those with  $d > 0$  are called *real quadratic fields* and those with  $d < 0$  are called *imaginary quadratic fields*).

*Generators of quadratic subfields.* (Let  $E$  be a finite Galois extension of  $F$  where  $F$  is a field of characteristic not equal to 2. Suppose  $G$  is the Galois group, and suppose  $H$  is a subgroup of index 2 in  $G$ . So  $E^H$  is a quadratic extension of  $F$ .)

1. We wish to build on the idea of GT 10.9 to find a  $d \in F$  such that  $E^H = F[\sqrt{d}]$ . We assume we have elements of  $E$  (such as generators). But to use GT 10.9 we need to find a  $\beta$  in the subfield  $E^H$ . We start with the idea of averaging. If  $\alpha \in E$  then consider the average of its  $H$ -conjugates

$$\frac{1}{|H|} \sum_{\sigma \in H} \sigma \alpha.$$

Since dividing by  $|H|$  creates problems in finite characteristic, we just consider  $\beta = \sum_{\sigma \in H} \sigma \alpha$ . Show that  $\beta \in E^H$ . (Note: this “trace” idea works for any subgroup of  $H$ , not just index 2 subgroups).

2. Let  $\alpha, \beta$  be as above. Since  $E^H$  is quadratic, we have a conjugate  $\bar{\beta} \in E^H$ . Show that  $\bar{\beta}$  is  $\tau\beta$  where  $\tau \in G$  is any element not in  $H$ . Show that

$$\bar{\beta} = \tau \sum_{\gamma \in H} \gamma \alpha = \sum_{\gamma \in H} \tau \gamma \alpha = \sum_{\sigma \in \tau H} \sigma \alpha = \sum_{\sigma \notin H} \sigma \alpha.$$

As in GT 10.9, we wish to consider  $\delta = \beta - \bar{\beta}$ , which satisfies  $\bar{\delta} = -\delta$ . Observe that

$$\delta = \beta - \bar{\beta} = \sum_{\sigma \in H} \sigma \alpha - \sum_{\sigma \notin H} \sigma \alpha = \sum_{\sigma \in G} \chi(\sigma) \sigma \alpha$$

where  $\chi : G \rightarrow \{\pm 1\}$  is as defined as follows. (Note: in our sums, think of  $\pm 1$  as elements of  $F$ ).

**Definition.** The *quadratic character*  $\chi : G \rightarrow \{\pm 1\}$  associated to  $H$  is the map defined by the rule  $\chi(\sigma) = 1$  if  $\sigma \in H$  and  $\chi(\sigma) = -1$  if  $\sigma \notin H$ .

3. Show that the quadratic character  $\chi$  is a surjective group homomorphism.

4. If  $\delta \neq 0$ , then  $\delta$  has minimal polynomial  $X^2 - d$  where  $d = \delta^2$ . Show (whether or not  $\delta = 0$ ) that

$$d = \sum_{\sigma, \tau \in G} \chi(\sigma\tau)(\sigma\alpha)(\tau\alpha).$$

Conclude that if  $d \neq 0$  then  $E^H = F[\sqrt{d}]$ .

5. Specialize to the situation where  $F = \mathbb{Q}$ , where  $E$  is the  $q$ th cyclotomic extension of  $\mathbb{Q}$  for  $q$  an odd prime, and where  $\alpha = \zeta$  is a primitive  $q$ th root of unity. Identify the Galois group  $G$  with  $(\mathbb{Z}/q\mathbb{Z})^\times$ . Show that the unique subgroup  $H$  of index two is the set of squares in  $(\mathbb{Z}/q\mathbb{Z})^\times$  (the set of quadratic residues). Under this identification with  $G$  show that

$$d = \sum_{\sigma, \tau \in G} \chi(\sigma\tau)(\sigma\zeta)(\tau\zeta) = \sum_{k, l \in (\mathbb{Z}/q\mathbb{Z})^\times} \left(\frac{kl}{q}\right) \zeta^k \zeta^l = \sum_{k, l \in (\mathbb{Z}/q\mathbb{Z})^\times} \left(\frac{kl}{q}\right) \zeta^{k+l}.$$

Here  $\left(\frac{a}{q}\right)$  is the Legendre symbol: it is  $+1$  if  $a$  is a nonzero square mod  $q$  and  $-1$  if  $a$  is not a square mod  $q$ . Now prove the following lemma (later we will calculate  $d$  and find that it is not zero):

**Lemma.** Let  $E$  be the  $q$ th cyclotomic extension of  $\mathbb{Q}$  where  $q$  is an odd prime. Let  $\zeta$  be a primitive  $q$ th root of unity. Let

$$d \stackrel{\text{def}}{=} \sum_{k, l \in (\mathbb{Z}/q\mathbb{Z})^\times} \left(\frac{kl}{q}\right) \zeta^{k+l}.$$

Then  $d$  is in  $\mathbb{Q}$ . Furthermore, if  $d \neq 0$  then  $\mathbb{Q}[\sqrt{d}]$  is the unique quadratic extension of  $\mathbb{Q}$  contained in  $E$ .

Problems 1–3. The quadratic subfield of  $\mathbb{Q}[\zeta_q]$ . (Let  $E$  be the  $q$ th cyclotomic extension of  $\mathbb{Q}$  where  $q$  is an odd prime. Let  $\zeta = \zeta_q$  in  $E$  be a primitive  $q$  root of unity.)

1. Our goal is to calculate the following  $d$ , known to be an element of  $\mathbb{Q}$ :

$$d = \sum_{k,l \in (\mathbb{Z}/q\mathbb{Z})^\times} \left(\frac{kl}{q}\right) \zeta^{k+l} = \sum_{m=0}^{q-1} A_m \zeta^m \quad \text{where} \quad A_m = \sum_{k \neq m} \left(\frac{k(m-k)}{q}\right).$$

In the formula for  $A_m$ , the terms vary over  $k \in (\mathbb{Z}/q\mathbb{Z})^\times$  with  $k \neq m$  in  $\mathbb{Z}/q\mathbb{Z}$ . Justify the formula for  $A_m$ , and show that

$$A_0 = \sum_{k \in (\mathbb{Z}/q\mathbb{Z})^\times} \left(\frac{-k^2}{q}\right) = \sum_{k \in (\mathbb{Z}/q\mathbb{Z})^\times} \left(\frac{-1}{q}\right) = (q-1) \left(\frac{-1}{q}\right).$$

2. Now assume  $m \neq 0$ . Show that for each  $k \in (\mathbb{Z}/q\mathbb{Z})^\times$  with  $k \neq m$  there is a unique  $r \in (\mathbb{Z}/q\mathbb{Z})^\times$  such that  $m - k = rk$ . Show that this yields every  $r$  except  $r = -1$ . Conclude that

$$A_m = \sum_{k \neq m} \left(\frac{k(m-k)}{q}\right) = \sum_{r \neq -1} \left(\frac{k^2 r}{q}\right) = \sum_{r \neq -1} \left(\frac{r}{q}\right) = -\left(\frac{-1}{q}\right) + \sum_{r=1}^{q-1} \left(\frac{r}{q}\right) = -\left(\frac{-1}{q}\right).$$

3. What is the  $q$ th cyclotomic polynomial  $\Phi(q)$ ? Use the fact that  $\zeta$  is a root of  $\Phi(q)$  to show that

$$\zeta + \zeta^2 + \dots + \zeta^{q-1} = -1.$$

Show that  $d = \left(\frac{-1}{q}\right)q$ . Conclude the following:

**Theorem.** Let  $E$  be the  $q$ th cyclotomic extension of  $\mathbb{Q}$  where  $q$  is an odd prime. Define  $*q = \left(\frac{-1}{q}\right)q$ . Then  $\mathbb{Q}[\sqrt{*q}]$  is the unique quadratic extension of  $\mathbb{Q}$  contained in  $E$ . Furthermore,  $\sqrt{*q}$  is in the ring  $\mathbb{Z}[\zeta]$  where  $\zeta$  is a primitive  $q$ th root of unity.

Problems 4–8. Proof of Quadratic Reciprocity. (Let  $E$  be the  $q$ th cyclotomic extension where  $q$  is an odd prime, and let  $p \neq q$  be another odd prime. Let  $\zeta$  be a primitive  $q$ th root of unity. Let  $G$  be the Galois group of  $E$  over  $\mathbb{Q}$  which we identify with  $(\mathbb{Z}/q\mathbb{Z})^\times$ . Let  $H$  be the subgroup of index 2 which we identify with the squares or quadratic residues. Let  $\alpha \mapsto \bar{\alpha}$  be the  $p$ th reduction map  $\mathbb{Z}[\zeta] \rightarrow \mathbb{F}_p[\bar{\zeta}]$  defined in GT 10.)

4. The element  $\sigma_p \in G$  that is identified with  $p \in (\mathbb{Z}/q\mathbb{Z})^\times$  is called the  $p$ th Frobenius element. It has the property that  $\sigma_p(\alpha) = \alpha^p$  for all roots of unity  $\alpha \in \mu_q$ . Of course  $\sigma_p(\alpha) = \alpha^p$  does not hold for all  $\alpha \in E$ . However, show that if  $\alpha \in \mathbb{Z}[\zeta]$  then  $\overline{\sigma_p \alpha} = \bar{\alpha}^p$ .

5. Observe that  $\sigma_p \in H$  if and only if  $p$  is a square mod  $q$ . Show that if  $\alpha \in \mathbb{Z}[\zeta]$  is in  $E^H$  and  $p$  is a square mod  $q$  then  $\bar{\alpha} \in \mathbb{F}_p$ . Conclude that if  $p$  is a square mod  $q$ , then  $*q$  is a square mod  $p$ .

6. Show that if  $p$  is not a square mod  $q$  then  $\sigma_p \sqrt{*q} = -\sqrt{*q}$ . Show that  $\sqrt{*q}$  is not in  $\mathbb{F}_p$ . Conclude that  $*q$  is not a square mod  $p$ .

7. Show the following equation between Legendre symbols.

$$\left(\frac{p}{q}\right) = \left(\frac{*q}{p}\right).$$

8. Show that  $-1$  is a square mod  $q$  if and only if  $q \equiv 1 \pmod{4}$ . Use this to prove the following.

**Theorem** (Quadratic reciprocity). Let  $p$  and  $q$  be distinct odd primes. Then

$$\left(\frac{p}{q}\right) = (-1)^{(p-1)(q-1)/4} \left(\frac{q}{p}\right).$$

*Ruler and compass constructions.*

**Definition 1.** Let  $F$  be a subfield of  $\mathbb{R}$ . A point  $(a, b)$  of  $\mathbb{R}^2$  is called  $F$ -rational if  $a, b \in F$ . A line of  $\mathbb{R}^2$  is said to be *generated* by two distinct points  $(a, b)$  and  $(c, d)$  if it contains the two points. A circle of  $\mathbb{R}^2$  is said to be *generated* by  $(a, b)$ ,  $(c, d)$  and  $(e, f)$  if has center  $(a, b)$  and radius equal to the distance from  $(c, d)$  to  $(e, f)$ . (Here we assume that  $(c, d)$  and  $(e, f)$  are distinct).

**Definition 2.** Let  $S$  be a set of points of  $\mathbb{R}^2$ . Let  $RC^1(S)$  be the set consisting of  $S$  together with any point  $(a, b)$  which is the point of intersection of (distinct) curves  $C_1$  and  $C_2$  where  $C_i$  is either a line generated by two points of  $S$  or a circle generated by three points of  $S$ .

Let  $RC^2(S)$  be  $RC^1(RC^1(S))$ , let  $RC^{n+1} = RC^1(RC^n(S))$ . Let  $RC(S)$  be the union of the sets  $RC^n(S)$ .

**Definition 3.** A point is said to be *constructible* if it is in  $RC(S)$  where  $S$  is the set  $\{(0, 0), (1, 0)\}$ . A line generated by constructible points is said to be a *constructible line*. A circle generated by constructible points is said to be a *constructible circle*. Let  $\mathbb{E}$  be the set of all  $a \in \mathbb{R}$  that occur as a coordinate of a constructible point.

*Problems 1–4. Quadratic towers associated to constructible points. (Let  $F$  be a subfield of  $\mathbb{R}$ .)*

1. Show that a line can be generated by two  $F$ -rational points if and only if it is the solution set of  $ax + by + c = 0$  with  $a, b, c \in F$  and with  $a$  and  $b$  not both zero. Suppose  $\ell_1$  and  $\ell_2$  are two distinct nonparallel lines, each generated by  $F$ -rational points. Show that the intersection of  $\ell_1$  and  $\ell_2$  is  $F$ -rational. Show that if a circle can be generated by three  $F$ -rational points, then it is the solution set of an equation of the form  $x^2 + y^2 + ax + by + c = 0$  where  $a, b, c \in F$ .

2. Suppose that  $a, b, c, a', b', c' \in F$ . Suppose  $a, b$  are not both zero. Show that there is a quadratic extension  $E \subseteq \mathbb{R}$  of  $F$  such that all  $x, y \in \mathbb{R}$  with

$$ax + by + c = 0 \quad x^2 + y^2 + a'x + b'y + c' = 0$$

have the property that  $x, y \in E$ .

Suppose that  $a, b, c, a', b', c' \in F$  where  $(a, b, c) \neq (a', b', c')$ . Suppose  $x, y \in \mathbb{R}$  are such that both

$$x^2 + y^2 + a'x + by + c = 0, \quad \text{and} \quad x^2 + y^2 + a'x + b'y + c' = 0.$$

Show that  $(a - a')x + (b - b')y = (c - c')$  also holds, and that either  $a - a'$  or  $b - b'$  is not zero. Conclude, as above, that there is a quadratic extension  $E \subseteq \mathbb{R}$  of  $F$  such that  $x, y \in E$ .

3. Prove the following proposition and corollary.

**Proposition.** *If  $(a, b)$  is constructible, then there is a sequence  $F_i$  of subfields of  $\mathbb{R}$  such that*

$$\mathbb{Q} = F_0 \subsetneq F_1 \subsetneq \dots \subsetneq F_{n-1} \subsetneq F_n,$$

*such that each  $[F_{i+1} : F_i] = 2$ , and such that  $a, b \in F_n$ .*

**Corollary.** *If  $a \in \mathbb{E}$  then  $a$  is algebraic and  $[\mathbb{Q}[a] : \mathbb{Q}]$  is a power of two.*

4. Show that  $\pi^{1/2}$  and  $2^{1/3}$  are not in  $\mathbb{E}$ . Explain why it is impossible to duplicate the cube or square the circle. (The problem of duplicating the unit cube, say, is to find two points  $P$  and  $Q$  such that  $PQ$  has length  $a$ , where  $a$  is the length of the side of a cube with volume 2. The problem of squaring the unit circle, say, is to find  $P$  and  $Q$  such that  $PQ$  has length  $a$ , where  $a$  is the length of the side of a square with area equal to that of the unit circle.)

*Problems 1–9. The fields  $\mathbb{E}$  and  $\mathbb{E}[i]$ .*

1. Let  $P$  and  $Q$  be constructible points. Show that the perpendicular bisector to the segment  $PQ$  is constructible. Conclude that the midpoint of the segment  $PQ$  is constructible.
2. Assume that  $P$  is a constructible point and that  $\ell$  is a constructible line. Show that the line perpendicular to  $\ell$  containing  $P$  is constructible. Do two cases (i)  $P \in \ell$  and (ii)  $P \notin \ell$ .
3. Show that the  $x$  and  $y$ -axes are constructible lines. Show that if  $(a, b)$  is constructible then so are  $(a, 0)$ ,  $(0, b)$  and  $(b, 0)$ . Show that  $(a, b)$  is constructible if and only if  $a, b \in \mathbb{E}$ .
4. Show that if  $a, b \in \mathbb{E}$  then so are  $a + b$  and  $-a$ . Conclude that  $\mathbb{E}$  is an additive subgroup of  $\mathbb{R}$ .
5. Suppose that  $a, b \in \mathbb{E}$  with  $a \neq 0$ . Show that  $(b, ab)$  and  $(1/a, 1)$  are constructible. Conclude that  $\mathbb{E}$  is an intermediate field between  $\mathbb{Q}$  and  $\mathbb{R}$ . Hint: consider the line generated by  $(0, 0)$  and  $(1, a)$ , and its intersection with  $x = b$  and  $y = 1$ .
6. Show that if  $a > 0$  is in  $\mathbb{E}$  then  $a^{1/2} \in \mathbb{E}$ . Conclude that the field  $\mathbb{E}$  is closed under square roots of nonnegative elements. Hint: look at the circle with center  $(0, 0)$  and containing  $(0, (a + 1)/2)$ . Where does this circle intersect the line  $x = (a - 1)/2$ ?
7. Show that  $(a, b)$  is constructible if and only if  $a + bi \in \mathbb{E}[i]$ . So we can think of  $\mathbb{E}[i]$  as the subfield of  $\mathbb{C}$  consisting of constructible points. In other words, complex numbers representing constructible points forms a subfield of  $\mathbb{C}$ .
8. Show that if  $\alpha \in \mathbb{E}[i]$  then  $\pm\alpha^{1/2} \in \mathbb{E}[i]$ . Hint: you may need to bisect an angle.
9. Prove the following. Hint: use the proposition of GT 13.

**Theorem.** *Suppose  $\alpha = a + bi \in \mathbb{C}$  where  $a, b \in \mathbb{R}$ . Then  $(a, b)$  is constructible if and only if there is a sequence of subfields of  $\mathbb{C}$*

$$\mathbb{Q} = F_0 \subsetneq F_1 \subsetneq \dots \subsetneq F_{n-1} \subsetneq F_n,$$

*such that each  $[F_{i+1} : F_i] = 2$ , and such that  $\alpha \in F_n$ .*

**Corollary.** *If  $\alpha \in \mathbb{E}[i]$  then  $\alpha$  is algebraic and the degree  $[\mathbb{Q}[\alpha] : \mathbb{Q}]$  is a power of two.*

*Problems 10–13. Polygons and trisections.*

10. Show that a primitive  $n$ th root of unity  $\zeta_n$  is in  $\mathbb{E}[i]$  if and only if  $\phi(n)$  is a power of 2. Conclude that the pentagon and the 17-gon are constructible (the first was known to the ancients, and the last is a result of Gauss). Hint: use the first corollary of GT 10. Show that if an Abelian group has order a power of two, then it has a (normal) subgroup of order 2. (When we say that an  $n$ -gon is “constructible” we mean that the all the vertices of some regular  $n$ -gon are constructible.)
11. Show that an  $n$ -gon is constructible if and only if  $\zeta_n \in \mathbb{E}[i]$ . Hint: Suppose you have constructed a regular  $n$ -gon. From the vertices you can construct the center. You can subtract all the vertices by the center and divide a pair of resulting vertices to obtain a primitive  $n$ th root of unity.
12. Show that there are constructible angles whose trisection is not constructible. Conclude that not all angles can be trisected with ruler and compass. Hint: consider a primitive 6th root of unity and a primitive 18th root of unity. (An angle is constructible means that the vertex and at least one point on each side is constructible).
13. Identify  $n$  such that  $\phi(n)$  is a power of two. Do so in terms of powers of two and Fermat primes (primes of the form  $2^n + 1$ ). Note: this requires some basic knowledge of the Euler phi function.

*Galois theory of finite fields.*

**Fact.** *Every finite field has characteristic  $p$  for some prime  $p$ , and has a subfield canonically isomorphic to  $\mathbb{F}_p$ . So we regard every finite field of characteristic  $p$  as a finite extension of  $\mathbb{F}_p$ .*

1. Show that if  $F$  is a field with  $q$  elements, then every element of  $F^\times$  is a root of  $X^{q-1} - 1$ . Show that every element of  $F$  is a root of  $X^q - X$ . Factor  $X^q - X$  in  $F[X]$ .

2. Let  $F$  be a finite field with  $q$  elements, and let  $E$  be a finite extension of degree  $n$ . Show that  $E$  has  $q^n$  elements. Show that  $E$  is the splitting field of  $X^{q^n} - X$  over  $F$ . Conclude that  $E$  is Galois over  $F$  with a Galois group of size  $n$ .

3. Conclude from the above that if  $E$  is a finite field of characteristic  $p$ , then  $|E|$  is  $p^n$  for some  $n$ , and  $E$  is Galois over  $\mathbb{F}_p$ . Furthermore  $x^{p^n} = x$  for all  $x \in E$ .

**Definition.** Suppose that  $F$  is a field of characteristic  $p$ . Let  $q$  be a power of  $p$ . Then the  $q$ th power Frobenius map  $\text{Fr}_q: F \rightarrow F$  is the function  $x \mapsto x^q$ .

4. Let  $F$  be a field of characteristic  $p > 0$ , and let  $q$  be a power of  $p$ . Show that  $\text{Fr}_q$  is an automorphism of  $F$ . Show that  $(\text{Fr}_q)^k = \text{Fr}_{q^k}$  in the automorphism group of  $F$ . Show that at most  $q$  elements of  $F$  are fixed by  $\text{Fr}_q$ , and these elements form a subfield of  $F$ .

5. Prove the following theorem and corollary.

**Theorem.** *Let  $F$  be a finite field with  $q$  elements, and let  $E$  be a finite extension of degree  $n$ . Then  $E$  is Galois over  $F$ , and  $\text{Fr}_q$  is an element of  $\text{Gal}(E/F)$ . Further,  $\text{Gal}(E/F)$  is cyclic of order  $n$  with generator  $\text{Fr}_q$ .*

**Corollary.** *Let  $F$  be a finite field with  $q$  elements and let  $E$  be a degree  $n$  extension. For all positive divisors  $k$  of  $n$  there is a unique field  $L_k$  intermediate between  $F$  and  $E$  of size  $q^k$ .*

6. Let  $F$  be a finite field with  $q$  elements. For each  $n \geq 1$ , let  $E_n$  be the splitting field of  $f = X^{q^n} - X$  over  $F$ . Show that  $f' = -1$  and that the extension  $E$  has at least  $q^n$  elements. Consider the Frobenius automorphism  $\text{Fr}_{q^n}$  of  $E_n$ , and let  $E'$  be the elements fixed by  $\text{Fr}_{q^n}$ . Show that  $E'$  is a subfield containing  $F$  and that  $E'$  is the set of roots of  $X^{q^n} - X$  in  $E_n$ . Conclude that  $E_n = E'$ , and that  $E$  has exactly  $q^n$  elements, and conclude the following:

**Theorem.** *Let  $F$  be a field with  $q$  elements. For each  $n \geq 1$  there is an extension of  $F$  of degree  $n$ . This extension is the splitting field of  $X^{q^n} - X$  over  $F$ , and is unique up to isomorphism (and the isomorphisms can be required to fix  $F$ ).*

**Corollary.** *Let  $p^n$  be a power of a prime. There is a field with  $p^n$  elements, and any two such fields are isomorphic.*

7. Prove the following:

**Theorem.** *Let  $E$  be an algebraic closure of  $\mathbb{F}_p$ . For each power  $p^n$ , there is a unique subfield of  $E$  of order  $p^n$ . These are all the finite subfields of  $E$ . If  $L_1, L_2$  are finite subfields of  $E$  then  $L_1 \subseteq L_2$  if and only if  $|L_2|$  is a power of  $|L_1|$ .*

8. Let  $p$  be a prime. Show that  $E$  is a field with  $p^n$  elements if and only if it is a  $p^n - 1$  cyclotomic extension of  $\mathbb{F}_p$ . Let  $|E| = p^n$ . Show that every root of the cyclotomic polynomial  $\Phi_{\mathbb{F}_p}(p^n - 1)$  generates the group  $E^\times$ . Show that  $\Phi_{\mathbb{F}_p}(p^n - 1)$  factors in  $\mathbb{F}_p[X]$  into irreducible factors of degree  $n$ , and that if  $\alpha$  is a root of any one of these, then  $E = \mathbb{F}_p[\alpha]$ .

*Problems 1–5. The fundamental theorem of algebra. (We will use four facts from outside Galois theory. Two are from analysis, and two are from finite group theory. Fact 1 follows from the intermediate value theorem. The geometric description of multiplication in  $\mathbb{C}$  then yields Fact 2. Problem 8 gives another argument for Fact 2. Fact 3 is a standard result of group theory called the first Sylow theorem. Fact 4 is also a standard fact in group theory, and so can be taken as given; however, a short proof is outlined in Problem 3.)*

**Fact 1.** Every polynomial  $f \in \mathbb{R}[X]$  of odd degree has a real root. If  $a > 0$  then  $x^2 = a$  has solutions in  $\mathbb{R}$ .

**Fact 2.** If  $a \in \mathbb{C}$  then  $x^2 = a$  has a solution in  $\mathbb{C}$ .

**Fact 3.** Let  $G$  be a finite group,  $p$  a prime, and  $p^n$  the largest power of  $p$  dividing  $|G|$ . Then  $G$  has a subgroup of order  $p^n$  (called a  $p$ -Sylow subgroup).

**Fact 4.** Let  $G$  be a group of order  $2^n$ ,  $n \geq 1$ . Then  $G$  has a subgroup of index 2.

1. Show that there are no finite extensions of  $\mathbb{R}$  of odd degree greater than 1. Show that there are no extensions of  $\mathbb{C}$  of degree two.
2. Let  $E$  be a finite Galois extension of  $\mathbb{R}$  with Galois group  $G$ . Show that  $|G|$  is a power of 2. Hint: look at  $[E^H : \mathbb{R}]$  where  $H$  is a 2-Sylow subgroup of  $G$ .
3. (Optional) Prove fact 4. Hint: induction on  $n$ . The center of  $G$  is non-trivial (look at orbits under conjugation). Show that the center has an element  $\sigma$  of order 2. Use the group  $G/\langle\sigma\rangle$ .
4. Show that  $\mathbb{C}$  has no proper finite extensions. Then prove the following theorem. Hint: let  $E$  be a Galois extension of  $\mathbb{R}$  containing the given extension of  $\mathbb{C}$ . Use Fact 4 on  $\text{Gal}(E/\mathbb{C})$ .

**Theorem** (Fundamental theorem of algebra). *The field  $\mathbb{C}$  is algebraically closed. The field  $\mathbb{C}$  is an algebraic closure of  $\mathbb{R}$ . All irreducible polynomials in  $\mathbb{R}[x]$  are either linear, or quadratic with distinct conjugate roots in  $\mathbb{C}$ .*

*Problems 5–9 (Optional). A general form of the fundamental theorem of algebra. (Let  $R$  be a real closed field as defined below. Let  $C$  be the splitting field of  $X^2 + 1$  over  $R$ . Let  $i$  be a root of  $X^2 + 1$  in  $C$ , so  $C = R[i]$ . If  $a, b \in R$ , then define the norm of  $\alpha = a + bi \in C$  to be  $\alpha\bar{\alpha} = a^2 + b^2$ .)*

**Definition.** Let  $R$  be an ordered field. We say that  $R$  is a *real closed field* if (i) every odd degree polynomial  $f \in R[X]$  has a root in  $R$ . and (ii) every positive element has a square root in  $R$ .

5. Recall that an ordered field  $L$  has characteristic zero, that  $1 \in L$  is positive, and that the square of any element of  $L$  is nonnegative. Conclude that  $X^2 + 1$  is irreducible in  $L[X]$ .
6. If  $a, b \in R$ , show that the norm of  $a + bi$  is nonnegative, and zero only if  $a = b = 0$ . Show that the norm is a multiplicative map  $C \rightarrow R$ .
7. Suppose  $u = a + bi$  has norm 1 and  $b \geq 0$ . Show that  $-1 \leq a \leq 1$ . Derive, or at least verify, that

$$\sqrt{\frac{1+a}{2}} + i\sqrt{\frac{1-a}{2}}$$

yields a formula for a square root of  $u$ . (Where the square roots are taken as nonnegative roots in  $R$ ). Hint: to derive the formula, start with the fact that the square root must also have norm 1.

8. Show that every element of  $C$  has a square root in  $C$ .
9. Generalize the fundamental theorem of algebra to  $C$  and  $R$  using Problems 1, 2, and 4 as a model.